# **ANTI-BRIBERY POLICY**

## **POLICY OVERVIEW**

Indium Corporation is committed to abide by the spirit and responsibilities as prescribed in our CODE OF ETHICS. This anti-bribery policy is meant to implement and enforce systems that provide reasonable assurance to all individuals working for or with the company that bribery and corruption are prevented.

If you suspect bribery or corruption and you think Indium Corporation's management should know, please refer to this policy.

### **ACTS OF BRIBERY**

"Bribery" is the illegal act of offering, giving, promising, asking, agreeing, receiving, accepting, or soliciting something of value or of an advantage so as to induce or influence action or decision.

Indium Corporation offers and accepts normal and appropriate gestures of hospitality and goodwill as long as the giving or receiving of gifts meets the following requirements:

#### It is:

- In compliance with local law
- Given/received in the name of the company, not in an individual's name
- Appropriate for the circumstances
- · Given/received openly

#### It does not

- Include cash or a cash equivalent (e.g., a voucher or gift certificate)
- Convey the suggestion that a return favor, retention of business, or a business advantage is expected
- · Influence the party to whom it is given
- Have a value above a certain excessive amount, as predetermined by the company

## REPORTING PROCEDURES

Indium Corporation recognizes that the normal chain of command identified within company policies and procedures may not be appropriate based on your concerns. In that event, concerns can be reported to any of the following:

- · Direct supervisor
- · Department manager
- Site manager
- · Any member of the Senior Management team
- · Regional site Managing Director
- VP of Finance
- President/C00
- CEO
- Compliance Manager

Additionally, the company has designated a Corporate Ombudsman, an independent third party with authority to direct compliance concerns to company management, the company's Board of Directors, or law enforcement, as appropriate. Individuals may report unethical practices by email at indiumhotline@mattlawfirm.com or by phone to a confidential voicemail box at +1.315.624.0676.

## WHISTLEBLOWER PROTECTIONS

It is important to Indium Corporation to foster an environment in which employees feel empowered to identify ethics violations or illegal activity without fear of reprisal.

Indium Corporation will ensure that no one suffers any retaliation as a result of a refusal to accept or offer a bribe or other corrupt activities, or due to an employee's report of a particular concern relating to potential acts of bribery or corruption. Retaliation includes dismissal, disciplinary action, or unfavorable treatment.

Indium Corporation has the following whistleblower safeguards deployed:

#### **Reprisal Protections:**

 No unfair treatment shall be directed toward any whistleblower acting in good faith by virtue of his/her reporting an issue under this policy.

#### **Confidentiality:**

- The company shall never reveal the name of the whistleblower without his/her consent unless required by law.
- If the company is ordered and required by law to report the name of the whistleblower, it shall inform the whistleblower, unless it has lawful reasons not to do so.
- If someone is afraid of being victimized, he/she can email
  or call anonymously, hiding his/her identity. In this case, the
  whistleblower should provide and deliver all related information
  and facts with the initial report to facilitate the investigation
  process, which include:
  - A clear and comprehensive understanding of the issue(s) being raised
  - Thorough and complete facts, refraining from any and all speculation
  - As much specific information as possible to allow a proper investigation
  - Disclosure of any personal interest that the whistleblower has in the matter
- The whistleblower can remain anonymous in follow-up communications and clarifications by providing a discreet email address.



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